

3624

PTO/SB/21 (05-03)

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Total Number of Pages in This Submission

Application Number	09/324,920
Filing Date	June 3, 1999
First Named Inventor	Degraaf et al.
Art Unit	3624
Examiner Name	Alain L. Bashore

Attorney Docket Number

11483-33

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>
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<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
	Remarks	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	H. Samuel Frost, Registration No. 31,696	RECEIVED
Signature	<i>H. S. Frost</i>	
Date	AUG 04 2003	
	GROUP 3600	

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8/12/03

Applicant : Degraaf et al.
Appl. No : 09/324,920 Confirmation No.: 2172
Filed : June 3, 1999
Title : Risk Management System and Method Providing Rule-Based Evolution of a Portfolio of Instruments

Grp./A.U. : 3624
Examiner : Alain L. Bashore

Docket No. : 11483-33
Customer No.: 001059

Honorable Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

July 30, 2003

AMENDMENT

Sir:

In response to the office action of May 2, 2003, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims, which begins on page 2 of this amendment.

Remarks/Arguments begin on page 8 of this amendment.

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